



PIPER RUDNICK LLP  
1200 NINETEENTH STREET, NW  
WASHINGTON, DC 20036-2412  
TELEPHONE: 202-861-3900  
FACSIMILE: 202-223-2085

DOCKET NO.: 9504-001-27

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Serial No.: 09/727,489  
Applicant(s): William MUHAMMAD  
Filing Date: December 4, 2000  
For: INTELLECTUAL PROPERTY BROKERAGE SYSTEM AND  
METHOD  
Group Art Unit: 2161  
Examiner:

SIR:

Attached hereto for filing are the following papers:

Return Copy of Notice of Abandonment  
Fee Transmittal  
Petition to Revive  
Date Stamped Filing Receipt (Copy)  
PTO Transmittal Letter (Copy)  
Return Copy of Notice to File Corrected Application Papers (Copy)  
Response to Notice to File Corrected Application Papers (Copy)  
Formal Drawings (6 Sheets - Copy)

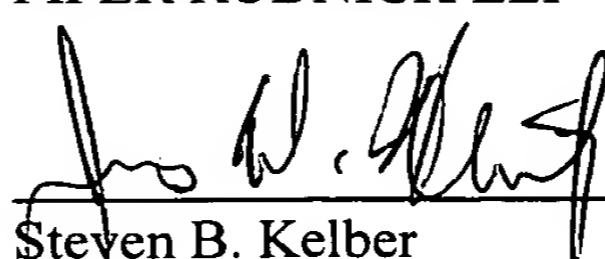
Our check in the amount of \$130.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Adjustment date: 11/22/2002 AKELLEY  
10/03/2002 SSESHEI 00000106 09727489  
01 FC:1460 -130.00 OP

Rec'd. Ref: 11/22/2002 AKELLEY 0011382300  
LAW:501+42 Name/Number:09727489  
FC: 9204 \$130.00 CR

Respectfully submitted,

PIPER RUDNICK LLP

  
Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073

James M. Heintz  
Registration No. 41,828

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<b>FEE TRANSMITTAL</b>		Docket No.	9504-001-27
		Serial No.	09/727,489
		Filing Date	December 4, 2000
		Inventor(s)	William MUHAMMAD
		Group Art Unit	2161
TOTAL AMOUNT OF PAYMENT		\$130.00	Examiner

1. <input checked="" type="checkbox"/> Applicant claims small entity status.  <input checked="" type="checkbox"/> Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442.  <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.				FEE CALCULATION (continued)								
				3. ADDITIONAL FEES								
				Large Entity	Small Entity	Fee Description						
2. Check enclosed.				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid			
FEE CALCULATION				105	130	205	65	Surcharge-late filing fee or oath				
1. BASIC FILING FEE				127	50	227	25	Surcharge-late provisional filing fee or cover sheet				
Large Entity	Small Entity	Fee Description				139	130	139	130	Non-English specification		
Fee Code	Fee (\$)	Fee Code	Fee (\$)				Fee Paid	147	2520	Ex parte reexam. fee		
101	740	201	370	Utility filing fee				115	110	1-mo. ext. of time		
106	330	206	165	Design filing fee				116	400	2-mo. ext. of time		
107	510	207	255	Plant filing fee				117	920	3-mo. ext. of time		
108	740	208	370	Reissue filing fee				118	1440	4-mo. ext. of time		
114	160	214	80	Provisional filing fee				128	1960	5-mo. ext. of time		
SUBTOTAL (1)				\$0.00			119	320	219	160	Notice of Appeal	
2. EXTRA CLAIM FEES							120	320	220	160	Appeal Brief	
tot. claims		-	20*	=	0	x \$9	=	0	121	280	Request for Oral Hearing	
ind. claims		-	3*	=	0	x \$42	=	0	142	1280	Utility/Reissue Issue Fee	
<input type="checkbox"/>	Multiple Dependent Claims					\$140	=	143	460	243	Design Issue Fee	
Large Entity	Small Entity	Fee Description				144	620	244	310	Plant Issue Fee		
Fee Code	Fee (\$)	Fee Code	Fee (\$)					122	130	122	130	Petitions to the Commissioner
103	18	203	9	Claims in excess of 20				126	180	126	180	IDS Submission
102	84	202	42	Independent claims in excess of 3				581	40	581	40	Assignment
104	280	204	140	Multiple dependent claim, if not paid				179	740	279	370	For Filing RCE
109	84	209	42	*Reissue independent claims over original patent				169	900	169	900	Expedited Design
110	18	210	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below):				
SUBTOTAL (2)				\$0.00								
* or number previously paid, if greater; For Reissues, see above							SUBTOTAL (3)				\$130.00	

Name	Steven B. Kelber	Registration No.	30,073		
Signature	<i>Steven B. Kelber</i>	Date	10/2/02	Telephone	202-861-3900
Name	James M. Heintz	Registration No.	41,828		

UNITED STATES PATENT & TRADEMARK OFFICE  
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND

1 Date of Request: 11/21/02 2 Serial/Patent # 09/727,489

3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT
	Filing			\$
	Amendment			\$
	Extension of Time			\$
	Notice of Appeal/Appeal			\$
X	Petition	5	10/2/02	\$130.00
	Issue			\$
	Cert of Correction/Terminal Disc.			\$
	Maintenance			\$
	Assignment			\$
	Other			\$

7 TOTAL AMOUNT OF REFUND

\$

8 TO BE REFUNDED BY:

10 REASON:

Treasury Check

Overpayment

X

Credit Deposit A/C #:

Duplicate Payment

9 50 -- 1 4 4 2

X No Fee Due (Explanation):

Abandonment withdrawn

11 REFUND REQUESTED BY:

TYPED/PRINTED NAME: Nancy Johnson

TITLE: Patents Atty

SIGNATURE: Nancy Johnson

PHONE: 703-305-0309

OFFICE: Patents, 470

\*\*\*\*\* THIS SPACE RESERVED FOR FINANCE USE ONLY: \*\*\*\*\*

APPROVED: Alissa Kelly

DATE: 11/22/02

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

Office of Finance  
Refund Branch  
Crystal Park One, Room 802B



PATENT/DC

By: SBK/JMH/jmp

Docket No.: 9504-001-27

PMRW No.: 302422-2

Serial No.: 09/727,489

In the Matter of the Application of: William MUHAMMAD

For: INTELLECTUAL PROPERTY BROKERAGE SYSTEM AND METHOD  
The following has been received in the U.S. Patent Office on the date  
stamped hereon:

- \_\_\_\_\_ pp. Specification & \_\_\_\_\_ Claims/Drawings \_\_\_\_\_ Sheets  
 Combined Declaration, Petition & Power of Atty. (   Pages/Executed)  
 Utility Patent Application Transmittal  
 Continued Prosecution Application  
 Request for Continued Examination (RCE)  
 List of Inventors' Names and Addresses  
 Fee Transmittal  
 Check for \$ \_\_\_\_\_  Dep. Acct. Order Form  
 Assignment \_\_\_\_\_ pages (executed)  PTO-1595  
 Request for Priority  
 Priority Doc. (      )  
 White Advance Serial Number Postcard  
 Preliminary Amendment  
 Letter to Official Draftsman  
 Letter Requesting Approval of Drawing Changes  
 Formal Drawings 6 sheets  
 Letter (Transmittal)  
 Amendment  
 Request for Extension of Time (      month(s))  
 Information Disclosure Statement  PTO-1599  
 List of Related Cases  
 Cited Documents (      )  
 \_\_\_\_\_ Search Report  
 Statement of Relevancy  
 Restriction Response  Election Response  
 Notice of Appeal  
 Appeal Brief  
 Rule 132 Declaration  
 Issue Fee Transmittal  
 Return Notice to File Corrected Application Papers  
 Response to Notice to File Corrected Application Papers

DUE DATE: March 18, 2001

Rev. 6/00

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/727,489	12/04/2000	William Muhammad	9504-001-27

**CONFIRMATION NO. 3889**

**FORMALITIES LETTER**



\*OC000000005688983\*

Supervisor, Patent Prosecution Services  
Piper Marbury Rudnick & Wolfe LLP  
1200 Nineteenth Street, N.W.  
Washington, DC 20036-2412

Date Mailed: 01/18/2001

**NOTICE TO FILE CORRECTED APPLICATION PAPERS**

***Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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**OFFICE OF PETITIONS**

DOCKET NO. 9504-001-27



#6

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: William MUHAMMAD

ART UNIT: 2161

SERIAL NO.: 09/727,489

EXAMINER:

FILING DATE: December 4, 2000

FOR: INTELLECTUAL PROPERTY BROKERAGE SYSTEM AND METHOD

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

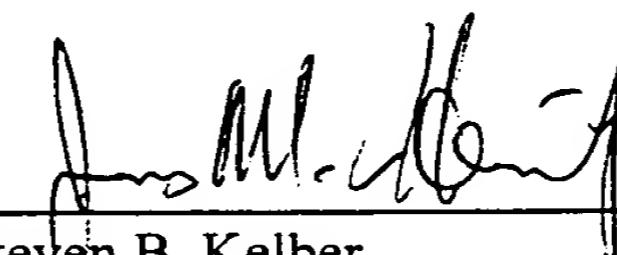
Responsive to the notification dated January 18, 2001, Applicants submit herewith

Formal Drawings (6 Sheets, Figures 1-6)

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



---

Steven B. Kelber  
Registration No. 30,073  
Attorney of Record

1200 Nineteenth Street, N.W.  
Washington, D.C. 20036-2412  
Telephone No. (202) 861-3900  
Facsimile No. (202) 223-2085

James M. Heintz  
Registration No. 41,828

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RUDNICK & WOLFE LLP  
1200 NINETEENTH STREET, N.W.  
WASHINGTON, D.C. 20036-2412  
TELEPHONE: 202-861-3900  
FACSIMILE: 202-223-2085

DOCKET NO.: 9504-001-27

**ATTENTION: APPLICATION BRANCH**

**THIS IS A RESPONSE TO A  
NOTICE TO FILE CORRECTED APPLICATION PAPERS**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventors: William MUHAMMAD  
Serial No: 09/727,489  
Filed: December 4, 2000  
For: INTELLECTUAL PROPERTY BROKERAGE SYSTEM AND METHOD

SIR:

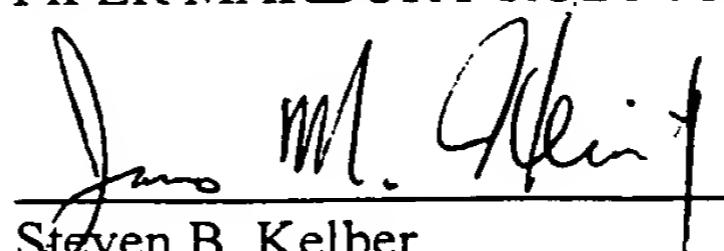
Attached hereto for filing are the following papers:

RETURN NOTICE TO FILE CORRECTED APPLICATION PAPERS  
RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS  
FORMAL DRAWINGS (6 Sheets)

Our check in the amount of \$ 0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R.1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R.1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

  
Steven B. Kelber  
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Attorney of Record

James M. Heintz  
Registration No. 41,828

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/727,489	12/04/2000	William Muhammad	9504-001-27

Supervisor, Patent Prosecution Services  
Piper Marbury Rudnick & Wolfe LLP  
1200 Nineteenth Street, N.W.  
Washington, DC 20036-2412

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**CONFIRMATION NO. 3889**

**ABANDONMENT/TERMINATION  
LETTER**



\*OC00000008719615\*

Date Mailed: 09/03/2002

**NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)**

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 01/18/2001.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE